



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 6554

SERIAL NUMBER 10/629,689	FILING OR 371(c) DATE 07/30/2003 RULE	CLASS 705	GROUP ART UNIT 3694	ATTORNEY DOCKET NO. B73-211	
APPLICANTS Colin Julian Smit, Cape Town, SOUTH AFRICA; Johannes Conradie Bruce, Somerset, SOUTH AFRICA;					
** CONTINUING DATA ***** <i>N/A</i>					
** FOREIGN APPLICATIONS ***** <i>N/A</i>					
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ** ** 11/03/2003					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Verified and <i>Allowance</i> Acknowledged <i>MS</i> Examiner's Signature Initials		STATE OR COUNTRY SOUTH AFRICA	SHEETS DRAWING 6	TOTAL CLAIMS 5	INDEPENDENT CLAIMS 5
ADDRESS INVESTMENT TRANSACTION ANALYSIS, INC CHRISTIAN H. BENT 1000 TUDOR DRIVE CROWNSVILLE, MD21032					
TITLE Programmable automated trustee (PAT) system, capable of advanced oversight applications, through the tracking, monitoring and analyzing of the investment process workflow activities, identifying anomalies and trends and reporting such anomalies and trends to the user					
FILING FEE RECEIVED 526	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		